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EFW



# TRANSMITTAL FORM

Do not be used for all correspondence after initial filing)

|                      |                           |
|----------------------|---------------------------|
| Attorney Docket No.  | AUS920010190US1 (9000/33) |
| Application Number   | 09/821,134                |
| Filing Date          | MARCH 29, 2001            |
| First Named Inventor | MARIA A. HIMMEL           |
| Group Art Unit       | 2682                      |
| Examiner             | CHO, UN C.                |

## ENCLOSURES (check all that apply)

|  |  |   |
|--|--|---|
| <input type="checkbox"/> Amendment<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Petition for Extension of Time Request (dup)<br><input type="checkbox"/> Express Abandonment Under 37 CFR 1.138<br><input checked="" type="checkbox"/> Supplemental Information Disclosure Statement, PTO-1449 with four attached references<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application | <input type="checkbox"/> Assignment Papers<br><input type="checkbox"/> Drawings:<br><input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> To Convert a Provisional Application<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request of Refund | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Brief<br><input type="checkbox"/> Proprietary Information<br><input checked="" type="checkbox"/> Post Card Receipt<br><input type="checkbox"/> Additional Enclosure(s) (please identify below):<br><div style="border: 1px solid black; height: 20px; width: 100%;"></div> <div style="border: 1px solid black; height: 20px; width: 100%;"></div> <div style="border: 1px solid black; height: 20px; width: 100%;"></div> |
| <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 09-0447 (IBM Corporation). A duplicate copy of this sheet is enclosed.   |  |   |
| <input checked="" type="checkbox"/> I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 09-0447 (IBM Corporation). A duplicate copy of this sheet is enclosed.   |  |   |

## CALCULATION OF FEE

|   |                        |                                 |               | Small Entity    |           | Large Entity    |           |
|---|------------------------|---------------------------------|---------------|-----------------|-----------|-----------------|-----------|
|   | Claims After Amendment | Highest No. Previously Paid For | Present Extra | Rate            | Add'l Fee | Rate            | Add'l Fee |
| Total                                     |                        | Minus                           | 0             | x \$25=         | 0         | x \$50=         |           |
| Indep.                                    |                        | Minus                           | 0             | x \$100=        | 0         | x \$200=        |           |
| First Presentation of Multiple Dep. Claim |                        |                                 |               | + \$180=        | ---       | + \$360=        |           |
|   |                        |                                 |               | total add'l fee | \$ 0      | total add'l fee | \$ 0      |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

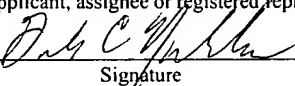
|                         |   |      |                |
|-------------------------|---|------|----------------|
| Firm or Individual name | FRANK C. NICHOLAS<br>Registration No. 33,983<br>CARDINAL LAW GROUP<br>1603 Orrington Avenue, Suite 2000<br>Evanston, IL 60201 |      |                |
| Signature               |   | Date | April 15, 2005 |

## CERTIFICATE OF MAILING

|   |                                |                      |
|---|--------------------------------|----------------------|
| I hereby certify that this correspondence is deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22202-1450 on this date: |                                | April 15, 2005       |
| Signature   | <br>FRANK C. NICHOLAS (33,983) | Date: April 15, 2005 |

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(Date of Deposit)



FRANK C. NICHOLAS (33,983)  
Name of applicant, assignee or registered representative  
  
Signature  
April 15, 2005  
Date of Signature

PATENT  
Case No. **AUS920010190US1**  
(9000/33)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

MARIA A. HIMMEL, ET AL,

Serial No. 09/821,134

Filed: MARCH 29, 2001

Title: METHOD AND SYSTEM FOR SCHEDULE  
BASED ADVERTISING ON A MOBILE  
PHONE

Examiner: CHO, UN C.

Group Art Unit: 2682

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22202-1450

Dear Sir:


Pursuant to 37 C.F.R. §§ 1.56 and 1.97-1.98, Applicants would like to call the Examiner's  
attention to the references listed on the enclosed form PTO-1449.

Applicants believe that none of the listed references, alone or in combination disclose or suggest  
Applicant's claimed invention.

Dated: **APRIL 15, 2005**

Respectfully submitted,

CARDINAL LAW GROUP  
1603 Orrington Avenue, Suite 2000  
Evanston, IL 60201  
(847) 905-7111

  
FRANK C. NICHOLAS  
Registration No. (33,983)  
Attorney for Applicants



|  |                                     |  |
|--|-------------------------------------|--|
| FORM PTO-1449  | SERIAL NO.<br>09/821,134            | CASE NO.<br>AUS920010190US1<br>(9000/33) |
| <b>LIST OF PATENTS AND PUBLICATIONS FOR<br/>APPLICANT'S INFORMATION DISCLOSURE<br/>STATEMENT</b> | FILING DATE<br>MARCH 29, 2001       | GROUP ART UNIT<br>2682                   |
| (use several sheets if necessary)  | APPLICANT(S): MARIA A. HIMMEL ET AL |  |

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |  | DOCUMENT<br>NUMBER | FILING<br>DATE | NAME | CLASS/<br>SUBCLASS | FILING<br>DATE |
|---------------------|--|--------------------|----------------|------|--------------------|----------------|
|                     |  |                    |                |      |                    |                |

## FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES | NO |
|---------------------|----|--------------------|---------------------|---------|--------------------|--------------------|----|
|                     | A1 | 2000-228704        | 08/15/2000          | JAPAN   |                    |                    | X  |
|                     | A2 | 2000-224658        | 08/11/2000          | JAPAN   |                    |                    | X  |
|                     | A3 | 2001-057593        | 02/27/2001          | JAPAN   |                    |                    | X  |
|                     | A4 | 2003-504700        | 02/04/2003          | JAPAN   |                    |                    | X  |

|                     |  |  |
|---------------------|--|--|
| EXAMINER<br>INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |  |
|                     |  |  |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.